

### Applying Mobile Big Data for Transport Planning

# Relationship between Big Data use and Personal Information Protection

Japan Transport and Tourism Research Institute

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# Top Questions on Big Data Utilization



- 1 What is the Act on the Protection of Personal Information in Japan? (What is the definition of "Personal Information"?)
  - Introduce Act and definitions of personal information and "guideline"
- 2 How has Japan's carrier (such as NTT Docomo) cleared the challenge of protecting personal information when providing their data to third parties?
- 3 Have you ever had a problem handling the personal information in Japan?
  - Analyzes success and failure cases and their factors in Japan, and introduces the key points of success in Japan

### Act on the Protection of Personal Information in Japan



# How can you comply with Act on the Protection of Personal Information?

Personal information, personal data, retained personal data (Article 2 paragraphs 1, 4 and 5)

The term "personal information"... shall mean information about a living individual which can identify the specific individual by name, date of birth or other description contained in such information. (including such information as will allow easy reference to other information and will thereby enable the identification of the specific individual.)



Details about this personal information are described in the guidelines set by the Ministry of Internal Affairs and Communications (MIC).

# MIC Guidelines on the Protection of Personal Information in the Telecommunications Business



# Guideline issued by the Ministry of Internal Affairs and Communications in Japan

電気通信事業における個人情報保護に関するガイドライン (平成16年8月31日総務省告示第695号)

最終改正 平成17年10月17日総務省告示第1176号

第1章 総則

(目的)

第1条 このガイドラインは、電気通信事業の公共性及び高度情報通信社会の進展に伴い個人情報の利用が著しく拡大していることにかんがみ、通信の秘密に属する事項その他の個人情報の適正な取扱いに関し、電気通信事業者の遵守すべき基本的事項を定めることにより、電気通信サービスの利便性の向上を図るとともに、利用者の権利利益を保護することを目的とする。

(定義)

- **第2条** このガイドラインにおいて、次の各号に掲げる用語の意義は、当該各号に定めるところによる。
  - 一 電気通信事業者 電気通信事業(電気通信事業法(昭和59年法律第86号)第 2条第4号に定める電気通信事業をいる) を行う者をいる

Act on the Protection of Personal Information was revised in 2017.

Specifying basic matters that telecommunications carriers should observe for proper handling to protect personal information.



#### Guideline revision history

- Enacted in 1998
- Revised in 2005
- Revised in 2009
- Revised in 2010
- Revised in 2011
- Revised in 2013
- Revised in 2015





#### Personal Information and Anonymously Processed Information



#### Original Data (including Personal Information)









Gender



Date of Birth



Name



Phone number



Detailed location



#### Deletion and encryption of some data







**ID Number Encrypted** 





Phone number



**Deleted** 





Gender D.O.B



**Detailed** 

Personal Information

#### Deletion, encryption, aggregation, and concealment of some data













Phone number



Deleted



Deleted







D.O.B



**Tabulated Concealed** 

Anonymously **Processed** Information

#### Relationship between Personal Information and **Anonymously Processed Information**





Data with which people can be identified

Mr. Tato Yamada

07:34:27

• ID

- Name
- Phone Number
- Gender
- D.O.B. Detailed location/time

information

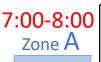
Personal



Non-identification process

Data without personal ID

- Somebody's data
  - Mr. X located in Zone A



Encrypted ID

Name

• Phone Number

- Gender
- Birth year Zone
- Around time

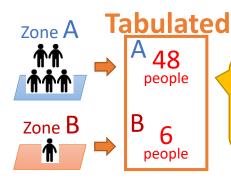
Anonymously **Processed** Information



**Tabulation process** 

**Estimated** population Some group's data

40's, 30's, ... in Zone A etc.



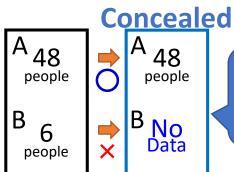
Group information



Concealing process

Mobile spatial statistics (NTT Docomo)

Data of a group including a specific number of people



**Statistics** 

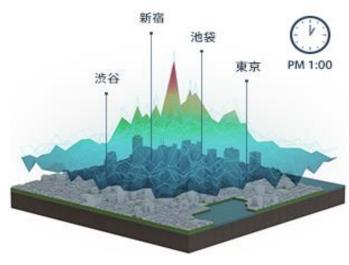
(Non-personal information)

# Two examples of using big data in Japan





CASE 1





Cell phone location information

Number of costumers 79,203,000(2018)

market share

44.5% (2018)

Research started in 2008

Starting in 2013, this service has been implemented



CASE 2



#### SUICA (Super Urban Intelligent CArd)

Information when passing the gate

SUICA release quantity 69,420,000(2018)

Num. of Passengers 17,000,000/day(2018)

Research started in 2009

Announcing commercialization in 2013, Canceled due to public opinion

# Study group on examining issues around ICT services from the user perspective by NTT Docomo



Base station location info.



"Personal information" means information that can identify the specific individual.

De-identification
Aggregation
Concealment

The act of causing a loss of identification with anonymization is not the use of personal information.



Mobile big data

Determined that the Act on the Protection of Personal Information will not apply because of loss of identification



Precautionary principle

Prompt businesses to pay social consideration, not just comply with the Act.



Study Group met four times (from Sep. 24 to Dec. 10, 2009)

<u> </u>	,
Law scholar (Chair)	Masao Horibe Prof. Emeritus, Hitotsubashi Univ.
Information economy expert	Akihiko Shinozaki Prof., Kyushu Univ. Graduate School
Statistics expert	Takeshi Hiromatsu Prof., Institute of Information Security
Consumer perspective advocate	Sawako Nohara President & CEO, IPSe Marketing, Inc.
Lawyer	Tsunemichi Yokoyama Mori Hamada & Matsumoto

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# Legal compliance + Precautionary principle + Third-party committee



#### Compliance with precautionary principle (example)

- (1) Promote public relations
- Issue press releases and disclose information on the website to eliminate users' anxiety and discomfort.

- (2) Secure transparency
- Draw up guidelines on big data creation which clarify the purpose, basic principle, creation procedures, three-step processing, etc., and make them available on the website.
- (3) Secure opportunities for user involvement



Ensure that providers can accept, at anytime, users' requests that base station location information should not be provided to third parties.

#### Independent Committee report (excerpt)

Organized by docomo

- <u>Automated</u> de-identification, aggregation and concealment may <u>make personal</u> <u>identification impossible</u>.
- (1) Does not violate the Act on the Protection of Personal Information, (2) Comply with the precautionary principle, and (3) conclusion of the Independent Committee



(Telecommunications carriers will) provide third parties with data when approved by Ministry of Internal Affairs and Communications.

# Diversity of mobile big data utilization fields



















Effective data in various fields, not limited to transportation



# cooperative research conducted by NTT DoCoMo



- Disaster prevention
- **Tourism** encouragement

Urban planning To estimate of number of people who are unable to return home after disasters, Saitama Prefectural Government,

To build up actual performance

2012

Tourism behavior research, Okinawa Prefectural Government,

2011

2010

2010

Cooperative research about Disaster prevention, Kogakuin University,

2011

Cooperative research about Urban planning, The university of Tokyo.

consumer behavior research. Kashiwa Local Government,

2012

To estimate of number of people who are unable to return home after disasters.

Tokyo Metropolitan Government



Starting in 2013, this service has been implemented

### Differences in handling data between the two companies



		docomo		JR東日本
(1) Compliance with laws and guidelines	0	Both complied	0	Both complied
(2) Prior explanation and information disclosure to users	0	Establishment of a Independent Committee and Dedicated website opened	×	Hardly explained
(3) Dissemination of opt-out procedure	0	Instructions on website	×	(at first) there was no announcement
(4) Data accuracy is appropriately "rough"	0	Only hourly & 500m mesh count results are provided	×	Provide data without aggregation
(5) Start use from public purpose	0	Start use for public purposes by academics and governments	×	Start with private business use
(6) Benefits returned directly to users	0	Providing wide benefits to society	×	Unable to show benefits to general users

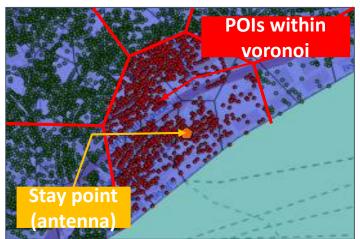
#### Market Failure Possibilities and Risks



There is a high demand for private operators to want to use mobile big data.

However, data that the private sector wants to use mainly for marketing is generally a unit for each building or facility, such as each red dot shown in the figure.

This is too detailed in terms of space and time, giving the impression that an individual has been identified and may be at high risk of social rebound.



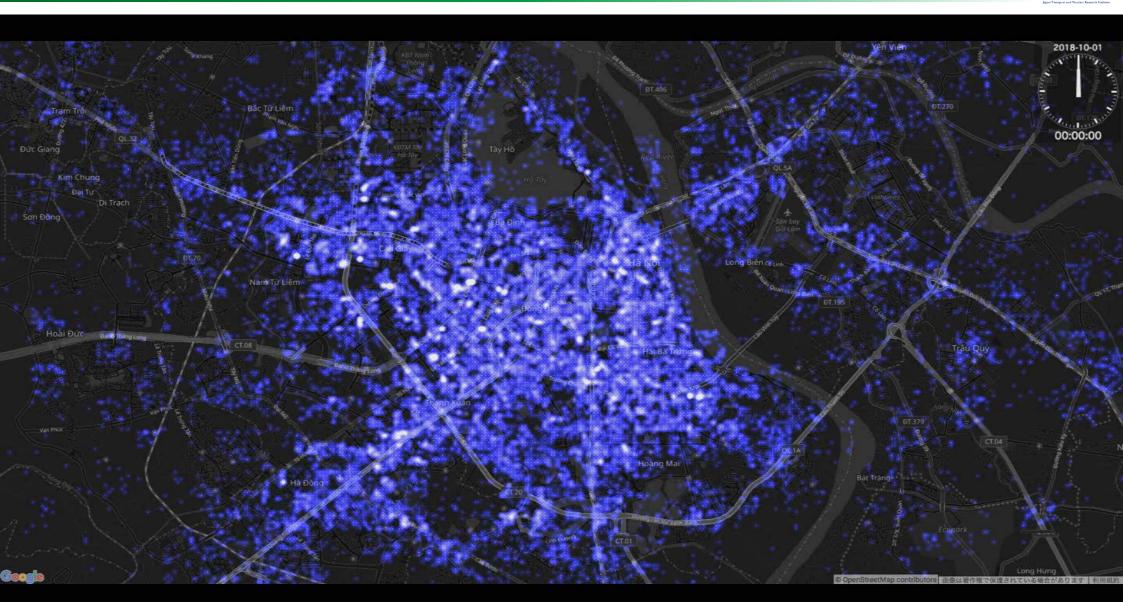
	Japan Tanapar and Transa Kaman Island			
Matter	Private Use	Public Plan		
Main Application	Marketing	Transportation Plan City Master Plan		
Space and Time Resolution	Store, Building Narrow(50m²) Short(5min int.)	Zone Wide (500~1,000m²) Long (1hr, 1day)		
The risk that an individual is identified	Large	Small		
Social resilience risk	Large	Relatively restrained		
Publicity	Low	High		
Data Publish	Hard (Due to contract between private sector)	Easy (Due to data acquire public groups such as governments)		

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**X**movie

### Estimated People Movement based on VinaPhone CDRs





SHIBASAKI & SEKIMOTO LAB. CSIS/IIS/EDITORIA. UTOKYO

### Future MBD use in transportation plans



- Growing expectations for PPP/PFI
  - ASEAN governments are having financial difficulties.
- Current demand forecast has <u>issues with accuracy</u>.



- Risk aversion when demand risk is high
  - Investors call for unfailing recovery of their investment
  - Decision makers consider the balance between improvement and investment



• Too much focus on demand risk (price) will result in













## Thank you for your attention

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